SEMINAR IN INTERNATIONAL POLITICS

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This seminar provides an overview of the field of international relations. It is designed primarily for students pursuing the PhD in Political Science, Public Policy or other related fields. The primary focus is on fundamental theoretical debates and their relationship to empirical social science. The course is meant to complement other graduate offerings, which focus more narrowly on debates about discrete theoretical, empirical and methodological issues.

The requirements for the course:

- (1) *Attendance and active participation in discussion*. In addition to general participation, some students will be assigned each week to serve as formal critics and defenders of the readings highlighted in yellow on the syllabus.
- (2) Three 5 pp. papers. These papers will comment critically on 1-2 readings for the week. These will assigned in advance from among be the readings (marked in yellow) that have formal critics and defenders (see (1) above). The paper writer may not assume one of those roles. These papers must be completed and distributed to the entire class by e-mail by 6 p.m. on the Monday preceding class, and the authors must be prepared to discuss this paper in class. These papers should respond to and comment on the respective readings, or referee debates among established authorities, but not just that. While a certain amount of summary, commentary and "refereeing" is appropriate, these papers are expected to advance original theoretical, empirical or methodological insights into underlying theoretical issues.
- (3) Oral presentation of articles selected by students. During the final weeks of the course, we will have regular seminar discussion for two hours and in the 45-60 minutes of the seminar students will each lead a discussion of 1-2 articles. Articles may: (a) reflect current published research (i.e. work that has appeared in the last five years in a major journal); and/or (b) classic research pertaining to a major strand of IR theory. In either case, students will be expected to select articles of significance to theoretical and/or empirical debates in international relations on an issue that has not received sufficient attention in the assigned readings. These articles must be approved in advance by the instructors.
- (4) *One take-home final exam.* This exam is similar to the general exams in IR students may take at the end of their second year. Each student will be asked to answer three broad questions about the IR literature on the syllabus.

All written work should be submitted in electronic form *by e-mail attachment only*. Grading will be on the basis of attendance, participation in class discussion, evidence of having completed the readings, and written assignments. All books are on reserve at the library; permanent links to required articles have been provided. Those who desire personal copies of books should search for copies from on-line providers; we recommend you purchase them used.

SEMINAR SCHEDULE

WEEK 1: 18 SEPTEMBER 2012 INTERNATIONAL RELATIONS AND SOCIAL SCIENCE

- Lakatos, Imre. "Falsification and the Methodology of Scientific Research Programmes," in Imre Lakatos and Alan Musgrave, eds. Criticism and the Growth of Knowledge: Proceedings of the International Colloquium in the Philosophy of Science, 1965. London: Cambridge University Press, 1974, pp. 91-196.
- Elman, Colin and Miriam Elman, "Lessons from Lakatos," from Colin Elman and Miriam Elman, eds., *Progress in International Relations Theory*. Cambridge, MA: MIT Press, 2003, pp. 21-70.
- Bennett, Andrew. "A Lakatosian Reading of Lakatos." from Colin Elman and Miriam Elman, eds., *Progress in International Relations Theory*. Cambridge, MA: MIT Press, 2003, Ch. 14, pp. 455-493.
- King, Gary, Robert O. Keohane, and Sidney Verba. *Designing Social Inquiry: Scientific Inference in Qualitative Research*. Princeton: Princeton University Press, 1994, Chs. 1, 3 and 6 (pp. 3-33, 75-114, 208-228).
- Gerring, John. *Social Science Methodology: A Criterial Framework*. Cambridge University Press, 2001, Chs. 3, 5, 7 (pp. 35-64, 89-117, 128-154).
- Fearon, James D. "Counterfactuals and Hypothesis Testing in Political Science," *World Politics* vol.43 no.2 (January 1991), pp. 169-195. <u>http://www.jstor.org/stable/2010470</u>
- Elster, Jon, "A Plea for Mechanisms," in Hedström and Swedberg, eds. *Social Mechanisms: An Analytical Approach to Social Theory*. New York: Cambridge University Press, 1998, pp. 45-73.
- Bennett, Andrew and Alexander George. *Case Studies and Theory Development in the Social Sciences*. (Cambridge, MA: MIT Press, 2005), Chapter 10 ("Process-Tracing and Historical Explanation), pp. 205-232.
- Sil, Rudra and Peter J. Katzenstein, "Analytic Eclecticism in the Study of World Politics: Reconfiguring Problems and Mechanisms across Research Traditions," *Perspectives on Politics* 8:2 (2010), pp. 411-31. <u>http://proquest.umi.com/pqdweb?did=2086640121&sid=1&Fmt=3&clientId=8424&RQT</u> <u>=309&VName=PQD</u>

<u>Recommended</u>:

- Adcock, Robert, and David Collier. "Measurement Validity: A Shared Standard for Qualitative and Quantitative Research." *American Political Science Review* 95, no. 3 (2001): 529-47. http://www.jstor.org/stable/3118231
- Sartori, Giovanni. "Concept Misformation in Comparative Politics." *The American Political Science Review* 64, no. 4 (1970): 1033-53. <u>http://www.jstor.org/stable/1958356</u>
- Campbell, D. T., & Stanley, J. C. (1966). *Experimental and Quasi-Experimental Design for Research*. Boston: Houghton Mifflin Co., pp.5-34.
- A. George and T. McKeown, "Case Studies and Theories of Organizational Decision Making," in *Advances in Information Processing in Organizations*, vol. 2. (Greenwich, CT: JAI Press, 1985).
- A. Lijphart, "Comparative Politics and Comparative Method," *American Political Science Review* 65:3 (Sept. 1971), pp. 682-693. <u>http://www.jstor.org/stable/1955513</u>
- G. Almond and S. Genco, "Clouds, Clocks and the Study of Politics" World Politics Vol. 29, No. 4 (July 1977), pp. 489-522 .<u>http://www.jstor.org/stable/2010037</u>
- "Review Symposium: The Qualitative-Quantitative Disputation," *American Political Science Review* Vol. 89, No. 2 (June 1995), pp. 454-481. <u>http://www.jstor.org/stable/2082440</u>; <u>http://www.jstor.org/stable/2082441</u>; <u>http://www.jstor.org/stable/2082442</u>; <u>http://www.jstor.org/stable/2082443</u>; <u>http://www.jstor.org/stable/2082444</u>; <u>http://www.jstor.org/stable/2082444</u>;

Alexander George, "Case Studies and Theory Development" in Paul G. Lauren, ed, Diplomacy (Free Press, 1979).

- J. Elster, Nuts and Bolts for the Social Sciences, ch.1. (Cambridge ; New York : Cambridge University Press, 1989)
- Harry Eckstein, "Case Study and Theory in Political Science," in F. Greenstein and N. Polsby, *Handbook of Political Science* vol.7, pp. 79-138. (Reading Mass.: Addison-Wesley, 1975)
- Lapid, Holsti, Bierstecher and George, "Exchange on the 3rd Debate" in *International Studies Quarterly* (Sept. 1989), pp. 253-79. <u>http://www.jstor.org/stable/2600457</u>; <u>http://www.jstor.org/stable/2600458</u>; <u>http://www.jstor.org/stable/2600459</u>; <u>http://www.jstor.org/stable/2600460</u>;
- I. Lakatos, "Falsification," in I. Laktos and A. Musgrave, *Criticism and the Growth of Knowledge*. (Cambridge [Eng.] University Press, 1970)
- John Lewis Gaddis, "History, Science, and the Study of International Relations," in Ngaire Woods, ed., *Explaining International Relations since 1945* (Oxford University Press, 1996), pp. 32-48.
- Jack Levy, "Explaining Events and Developing Theories: History, Political Science, and the Analysis of International Relations," in Colin and Miriam Elman, eds, *Bridges and Boundaries: Historians, Political Scientists, and the Study of International Relations*, pp. 39-83. (Cambridge, Mass.: The MIT Press, 2001)
- Rudra Sil and Peter J. Katzenstein *Beyond Paradigms: Analytic Eclecticism in the Study of World Politics.* (Houndmills, Basingstoke, Hampshire; New York : Palgrave Macmillan, 2010)

Terence Tao, "E pluribus unum: From Complexity, Universality," Daedalus 141:3 (Summer 2012), pp. 23-34.

WEEK 2: 25 SEPTEMBER 2012 ANARCHY, POWER, REALISM AND CLASSIC TYPOLOGIES OF IR THEORY

Classic Realism

Morgenthau, Hans J. *Politics among Nations: The Struggle for Power and Peace*. 2nd or later edition (New York: Knopf, 1948), Chapter 1 ("A Realist Theory of International Politics"), Chapter 3 ("Political Power"), and Chapter 15 ("Morality, Mores and Law as Restraints on Power").

Neo-Realism

Waltz, Kenneth. *Theory of International Politics*. Reading, Mass: Addison-Wesley Publishing, 1979, Chs. 1-2, 4-6, and 8 (pp. 1-37, 60-128, 161-193).

... and its Critics

- Keohane, Robert, ed. *Neorealism and its Critics*. New York: Columbia University Press, 1986, Chapters by Keohane (Ch. 7), Ruggie (Ch. 6) and Waltz (Ch. 11) (pp. 131-203, 322-346).
- Wagner, Harrison, *War and the State: The Theory of International Politics*. Ann Arbor: University of Michigan Press, 2007, Chs 1-2 (pp. 1-103). Available at: http://www.press.umich.edu/titleDetailLookInside.do?id=224944

Paradigmatic Alternatives and Typologies

- Jervis, Robert "Cooperation under the Security Dilemma," *World Politics* 30:2 (January 1978), pp. 167-213. <u>http://www.jstor.org/stable/2009958</u>
- Wendt, Alexander "Anarchy is What States Make of It," *International Organization* (Spring 1992), pp. 391-425. <u>http://www.jstor.org/stable/2706858</u>
- Buzan, Barry, Little Richard. "World History and the Development of Non-Western International Relations Theory," in Acharya A, Buzan B, eds. Non-Western International Relations Theory: Perspectives on and Beyond Asia. Abingdon. New York: Routledge, 2010, pp. 197–220
- Lake, David A. and Robert Powell, "International Relations: A Strategic-Choice Approach," in Lake and Powell, eds. *Strategic Choice and International Relations*. Princeton: Princeton University Press, 1999, Ch. 1 (pp. 3-38).

<u>Recommended</u>

- Kenneth Waltz, Man, the State and War: A Theoretical Analysis (New York: Columbia University Press, 1954/2001).
- E.H. Carr, The Twenty-Years' Crisis, 1919-1939 (Palgrave Macmillan, 1939/2001).
- Carl von Clausewitz, *On War*. rev. ed. edited by Peter Paret and Michael Howard, Princeton: Princeton University Press, 1978 (1832).
- Vendulka Kubalkova, "The Twenty Years' Catharsis: E.H. Carr and IR," in Vendulka Kubalkova, Nicholus Onuf, Paul Kowert, eds., *International Relations in a Constructed World*, chp. 2.
- Hans Morganthau, Politics Among Nations, Chs. 3, 8-14. (New York, Knopf 1967)
- Thucydides, The History of the Pelopennesian War, Book V, The Melian Dialogue.
- Barry Posen, *Sources of Military Doctrine: France, Britain, and Germany between the world wars* chs. 1, 2, 7. (Ithaca : Cornell University Press, 1984)
- Laurie M. Johnson Baggs, "The Use and Abuse of Thucydides," *International Organization* Vol. 48, No. 1 (Winter 1994), pp. 131-153. <u>http://www.jstor.org/stable/2706917</u>
- Symposium on <u>War and the State</u> in *International Theory*, v. 2, 2, July 2010, articles by R. Harrison Wagner, "*War and the State: A synopsis*,"

http://journals.cambridge.org/action/displayFulltext?type=1&fid=7759528&jid=INT&volumeI d=2&issueId=02&aid=7759520;

Randall L. Schweller," *The Logic and Illogic of the security dilemma and contemporary realism: a response to Wagner's critique*,"

http://journals.cambridge.org/action/displayFulltext?type=1&pdftype=1&fid=7759540&jid=INT&volumeI d=2&issueId=02&aid=7759532;

and James D. Fearon, "Comments on R. Harrison Wagner's War and the State: The Theory of International Politics,

 $\label{eq:http://journals.cambridge.org/action/displayFulltext?type=1&fid=7759576&jid=INT&volume_{Id=2&issueId=02&aid=7759568}.$

- Roger Boesche, "Kautilya's Arthasastra on War and Diplomacy in Ancient India," *Journal of Military History* Vol. 67, No. 1, (January 2003), pp. 9-38. <u>http://www.jstor.org/stable/3093167</u>
- J.K. Fairbanks, "Tributary Trade and China's Relations with the West," *The Far Eastern Quarterly* Vol. 1, No. 2 (February 1942), pp. 129-49. <u>http://www.jstor.org/stable/2049617</u>
- Barry Buzan, Richard Jones, and Richard Little, *The Logic of Anarchy: Neo-Realism to Structural Realism* (New York: Columbia University Press, 1993).
- Kenneth Waltz and James Fearon "A Conversation with Kenneth Waltz," *Annual Review of Political Science*, Vol. 15:1–12, 2012. <u>http://www.annualreviews.org/doi/pdf/10.1146/annurev-polisci-020511-174136</u>
- Helen V. Milner, 1991. "The Assumption of Anarchy in International Relations: A Critique," *Review of International Studies*. v.17:1, pp. 67-85. <u>http://www.jstor.org/stable/20097244</u>
- Alastair Iain Johnston, 2012. What (If Anything) Does East Asia Tell Us About International Relations Theory? *Annual Review of Political Science*, Vol. 15: 53-78. http://www.annualreviews.org/doi/pdf/10.1146/annurev.polisci.040908.120058

- Thomas Biersteker. 2009. The parochialism of hegemony: challenges for "American" international relations. In *International Relations Scholarship around the World*, ed. A Tickner, O Waever, pp. 308–27. London: Routledge.
- Miles Kahler, "Inventing International Relations," in Michael W. Doyle and G. John Ikenberry, eds., *New Thinking in International Relations Theory*, pp. 20-53. Boulder, Colo. : Westview Press, 1997)
- Peter Katzenstein, Robert Keohane, Stephen Krasner, "International Organization and the Study of World Politics," *International Organization*, 52:4 (Autumn 1998), pp. 648-85. http://www.jstor.org/stable/2601354
- Cynthia Weber, "The Resurrection of New Frontiers of Incorporation," *European Journal of I nternational Relations (EJIR)*, 1999, 5(4): 435-450. <u>http://ejt.sagepub.com/content/5/4/435</u>
- Robert Jervis, *Perception and Misperception in International Politics*, pp.13-31. (Princeton, N.J. : Princeton University Press, 1976)
- John Mearsheimer, The Tragedy of Great Power Politics, chps. 1 and 2. (New York : Norton, 2001)
- Reviews of Mearsheimer, *Tragedy* by Glenn Snyder "Mearsheimer's World-Offensive Realism and the Struggle for Security: A Review Essay." *International Security* (Summer 2002), pp 149-73, <u>http://www.jstor.org/stable/3092155</u>, and by Richard Rosecrance, "War and Peace," *World Politics* (October 2002), pp. 137-66. <u>http://www.jstor.org/stable/25054212</u>.
- J. S. Nye, Jr., "Review: Neorealism and Neoliberalism," *World Politics* 40:2 (January 1988): 235-51.<u>http://www.jstor.org/stable/2010363</u>
- John Ruggie, "What Makes the World Hang Together?" *International Organization* 52:4 (Fall 1998): 855-85. http://www.jstor.org/stable/2601360
- Klotz, Audie. "Can We Speak a Common Constructivist Language?" In.Karin M. Fierke and Knud Erik Joergensen, eds. Constructing International Relations. The Next Generation. Armonk NY and London: M.E. Sharpe: 2001, pp. 223-235.
- Lapid, Yosef. "Quo Vadis International Relations? Further Reflections on the 'Next Stage' of International Theory," Millennium: Journal of International Studies 18(1) (1989), pp. 77-88.
- Wæver, Ole. "The Sociology of a not so International Discipline: American and European Developments in International Relations," International Organization 52(4) (1998), pp. 687-727.
- Wæver, Ole. "The Rise and Fall of the Inter-paradigm Debate," in Steve Smith, Ken Booth and Marysia Zalewski, eds. International Theory: Positivism & Beyond. Cambridge: Cambridge University Press, 1996, pp. 149-185.
- Wiener, Antje. "Constructivist Approaches in International Relations Theory: Puzzles and Promises," ConWEB Webpapers on Constitutionalism & Governance beyond the State 2006/05 (2006) Accessed on 01 August 2009, from <u>https://www.wiso.uni-</u> hamburg.de/fileadmin/sowi/politik/governance/ConWeb_Papers/conweb5-2006.pdf

WEEK 3: 2 OCTOBER 2012 SOURCES OF STATE PREFERENCES: SOCIAL PRESSURES

State Preferences

- Moravcsik, Andrew. "Taking Preferences Seriously: A Liberal Theory of International Politics," *International Organization* 51:4 (1997), pp. 513-554. <u>http://www.jstor.org/stable/2703498</u>
- Milner, Helen V. "Rationalizing Politics: The Emerging Synthesis of International, American, and Comparative Politics," *International Organization* 52:4 (Autumn 1998), pp. 759-786. <u>http://www.jstor.org/stable/2601357</u>

Ideational Sources of Societal Preferences: Ethnicity, Nationality, Ideology, Public Goods

- Ruggie, J.G. "International Regimes, Transactions, and Change: Embedded Liberalism in the Post-War Economic Order," *International Organization* (Spring 1982), pp. 385-398. http://www.jstor.org/stable/2706527
- Owen, John M. *The Clash of Ideas in World Politics: Transnational Networks, States and Regime Change, 1510-2010.* Princeton: Princeton University Press, 2010, Chapter 1 (pp. 1-30).
- Keck, Margaret and Kathryn Sikkink. *Activists Beyond Borders*. Ithaca, NY: Cornell University Press, 1998, Introduction plus one of the two empirical chapters, pp.79-120, 121--164.
- Fearon, J.D. and D. Laitin, "Explaining Interethnic Cooperation," *American Political Science Review* 90:4 (December 1996), pp. 715-735. <u>http://www.jstor.org/stable/2945838</u>
- Busby, Joshua. *Moral Movements and Foreign Policy*. Cambridge: Cambridge University Press, 2010, "Chapter 1, States of Grace" and "Chapter 7: Conclusions" (pp. 1-22, 255-272).
- Gary Bass, *Freedom's Battle: The Origins of Humanitarian Intervention*. New York: Knopf, 2008, pp. 3-38.

Economic Interdependence and Societal Preferences

- Frieden, Jeffry. "Invested Interests: the Politics of National Economic Policies in a World of Global Finance," *International Organization* 45:4 (1991), pp. 524-541. <u>http://www.jstor.org/stable/2706944</u>
- Rogowski, Ronald. Commerce and Coalitions: How Trade Affects Domestic Political Alignments. Princeton, N.J.: Princeton University Press, 1989, Required Chs. 1, 6, Skim 2-5 (pp 3-20, 163-174, skim 21-162).

Interdependence and Conflict

- Mansfield, Edward D. and Brian M. Pollins eds., "Interdependence and Conflict: An Introduction," in Mansfield and Pollins eds., *Economic Interdependence and International Conflict: New Perspectives on an Enduring Debate*. Ann Arbor, MI: University of Michigan Press, 2003, pp. 1-28
- Gartzke, Eric et al. "Investing in the Peace: Economic Interdependence and International Conflict, *International Organization* 55:2 (2001), pp. 391-438. <u>http://www.jstor.org/stable/3078636</u>

Recommended

- Immanuel Kant, "To Perpetual Peace: A Philosophical Sketch," in *Perpetual Peace and other Essays on Politics, History and Morals.* (Indianapolis : Hackett Pub. Co., 1983)
- Charles Kupchan, *How Enemies Become Friends: The Sources of Stable Peace* (Princeton University Press, 2010), especially Chs 2, 5.
- Ernest Haas, *Beyond the Nation-State: Functionalism and International Organization*. (Stanford, Calif. : Stanford University Press, 1964)
- Karl Deutsch, The Analysis of International Relations. (Englewood Cliffs, N.J., Prentice-Hall 1968)
- M. Zacher and Richard Matthew, "Liberal International Theory: Common Threads, Divergent Strands," in Charles Kegley, Jr., ed., *Controversies in International Relations Theory: Realism and the neoliberal challenges*. (New York : St. Martin's Press, 1995)
- Norman Angell, The Great Illusion, 1933. (New York, G. P. Putnam's Sons 1933)
- Michael Hiscox, "Class Versus Industry Cleavages: Inter-Industry Factor Mobility and the Politics of Trade," International Organization 55 (Winter 2001), pp. 1-46. <u>http://www.jstor.org/stable/3078596</u>
- James Kurth, "The Political Consequences of the Product Cycle," *International Organization* 33:1 (Winter 1979), pp. 1-34. <u>http://www.jstor.org/stable/2706592</u>
- P.J. Katzenstein, ed., *The Culture of National Security*, chp.1. <u>http://books.google.com/books?id=bPjkBhKWBOsC&lpg=PP1&pg=PA1#v=onepage&q&f=false</u>
- S. Krasner, *Defending the National Interest: Raw Materials, Investments and U.S. Foreign Policy*, chp. 2. (Princeton, N.J. : Princeton University Press, 1978)
- Jutta Weldes, "Constructing National Interests," *European Journal of International Relations* 2, 3 (1996): 275-318. http://ejt.sagepub.com/content/2/3/275.full.pdf+html
- Jonathan Kirshner, *Appeasing Bankers: Financial Caution on the Road to War*, chps. 1,6. (Princeton : Princeton University Press, 2007)
- David Laitin and Jacob Shapiro, "The Political, Economic, and Organizational Sources of Terrorism," in *Terrorism, Economic Development, and Political Openness* by Philip Keefer and Norman Laoyza (Cambridge University Press, 2008), pp. 209-232.

- Karl.W. Deutsch, *Nationalism and Its Alternatives*, chps. 1-3. (New York, Knopf [distributed by Random House, 1969)
- Benedict Anderson, *Imagined Communities: reflections on the origin and spread of nationalism*, chp. 3. (London ; New York : Verso, 1991)
- Barry R. Posen, "The Security Dilemma and Ethnic Conflict." *Survival* 35, 1 (1993): 27-47. http://www.tandfonline.com/doi/pdf/10.1080/00396339308442672
- Karl W. Deutsch et al., "Political Community and the North Atlantic Area," in *International Political Communities*, Princeton, NJ, Princeton University Press, pp.1-92.
- Emanuel Adler and Michael Barnett, eds., *Security Communities*, chps. 1,2, 13. (Cambridge, UK ; New York : Cambridge University Press, 1998)
- Helen V. Milner, Resisting Protectionism, (Princeton University Press, 1988).
- F.H. Cardoso and E. Faletto, *Dependency and Development in Latin America*, pp.vii-xxv, 176-216. (Berkeley : University of California Press, 1979)
- J. A. Frieden and R. Rogowski, "The Impact of the International Economy on National Policies: An Analytical Overview," in R.O. Keohane and H.V. Milner, eds., *Internationalization and Domestic Politics*, chp.2. (Cambridge [England]; New York, NY, USA : Cambridge University Press, 1996)
- Thomas Risse-Kappen, Stephen Roppe, and Kathryn Sikkink, *The Power of Human Rights: International Norms and Domestic Change*, chp. 1. (Cambridge : Cambridge University Press, 1999)
- Sidney Tarrow, "Transnational Politics: Contention and Institutions in International Politics," *Annual Review of Political Science* 4, 1 (2001): 1-20. http://search.ebscohost.com/login.aspx?direct=true&db=aph&AN=5367201&site=ehost-live
- Emilie Hafner-Burton, Miles Kahler, and Alexander H. Montgomery, "Network Analysis for International Relations," *International Organization* 63, 3 (Summer 2009): 559-92. <u>http://search.ebscohost.com/login.aspx?direct=true&db=mth&AN=43581814&site=ehost-live</u>
- Mark Haas, Ideological Origins of Great Power Politics (Ithaca: Cornell University Press, 2005), pp. 73-103.

Immanuel Wallerstein, The Capitalist World Economy, (Cambridge University Press, 1979), pp.1-36.

- Andrew Moravcsik. The Choice for Europe: Social Preferences and State Power from Messina to Maastricht, 1957-1992. Ithaca, ny: Cornall University Press, 1989.
- John Meyer et al, "World Society and the Nation State," *American Journal of Sociology* 103, 1 (1997): 144-81. http://www.jstor.org/stable/10.1086/231174
- J. Meyer, "Review: Political Structure and the World Economy," *Contemporary Sociology* 11, 3 (May 1982): 263-66. <u>http://www.jstor.org/stable/2067100</u>
- J. Meyer, "Review: Kings and People," *American Journal of Sociology* 86, 4 (January 1981): 895-99. http://www.jstor.org/stable/2778349
- S. Huntington, "The West: Unique not Universal," *FA* (November/December 1996): 28-46. <u>http://search.ebscohost.com/login.aspx?direct=true&db=mth&AN=9708190334&site=ehost-live</u>

WEEK 4: 9 OCTOBER 2012 SOURCES OF STATE PREFERENCES: DOMESTIC INSTITUTIONS

Domestic Representation

- Rogowski, Ronald. "Institutions as Constraints on Strategic Choice," in Lake and Powell, eds. *Strategic Choice and International Relations*. Princeton, N.J: Princeton University Press, 1999, Ch. 4 (pp. 115-136).
- Putnam, Robert. "Diplomacy and Domestic Politics: The Logic of Two-Level Games," *International Organization* 42:3 (Summer 1988), pp. 427-60. <u>http://www.jstor.org/stable/2706785</u>
- Milner, Helen V. Interests, Institutions, and Information. Princeton, N.J: Princeton University Press, 1997, Chapters 1, 9, chapter (2, 3 or 4, choose one), chapter (6, 7 or 8, choose one), (pp. 3-32, 233-262, and select from others).

Institutions and Economic Policy

- Lohmann, Susanne and Sharyn O'Halloran, "Divided Government and US Trade Policy: Theory and Evidence," *International Organization* 48:4 (1994), pp. 595-632. <u>http://www.jstor.org/stable/2706897</u>
- Bailey, Michael, Judith Goldstein, and Barry Weingast, "The Institutional Roots of American Trade Policy," *World Politics* 49:3 (April 1997), pp. 309-38. <u>http://www.jstor.org/stable/25054005</u>
- Hiscox, Michael "The Magic Bullet? The RTAA, Institutional Reform, and Trade Liberalization," *International Organization* 53:4 (Autumn 1999), pp. 669-698. <u>http://www.jstor.org/stable/2601306</u>

Institutions and Security Policy

Snyder, Jack Myths of Empire: Domestic Politics and International Ambition. Ithaca, NY: Cornell University Press, 1991, Chs. 1-2, 8, and one empirical chapter (pp. 1-65, 305-322, and one other chapter).

The Democratic Peace

Doyle, Michael "Liberalism and World Politics," *American Political Science Review* 80:4 (December 1986), pp. 1151-1169. <u>http://www.jstor.org/stable/1960861</u>

Russett, Bruce and Zeev Maoz, "Normative and Structural Causes of the Democratic Peace,

1946-1986," *American Political Science Review* 87:3 (1993), pp. 624-638. http://www.jstor.org/stable/2938740

- Bueno de Mesquita, Bruce, James Morrow, Randolph Siverson, and Alastair Smith, "An Institutional Explanation of the Democratic Peace," *American Political Science Review* 93:4 (1999), pp. 791-808. <u>http://www.jstor.org/stable/2586113</u>
- Farber, Henry S. and Joanne Gowa, "Common Interests or Common Polities? Reinterpreting the Democratic Peace," *Journal of Politics* 59:2 (1997), pp 393-417. <u>http://www.jstor.org/stable/2998170</u>
- Schultz. Kenneth A. "Do Democratic Institutions Constrain or Inform? Contrasting Two Institutional Perspectives on Democracy and War," *International Organization* 53 (1999), pp. 233-266. <u>http://www.jstor.org/stable/2601389</u>
- Owen, John. "How Liberalism Produces Democratic Peace," *International Security*, 19:2 (1994): 50-86. <u>http://www.jstor.org/stable/2539197</u>

<u>Recommended</u>

- Edward Mansfield, Helen Milner, and Jon Pevehouse, "Democracy, Veto Players and the Depth of Regional Integration," *World Economy* Vol. 31 Issue 1 (January 2008), pp. 67-96 <u>http://web.ebscohost.com/ehost/pdfviewer/pdfviewer?vid=3&hid=106&sid=b2fa54c2-7ef3-485d-94aa-a35047d2d131%40sessionmgr115</u>.
- Mansfield, Edward and Jon Pevehouse. "Democratization and International Organizations." *International Organization* 60, 1 (Winter 2006), pp. 137-167. <u>http://www.jstor.org/stable/3877870</u>
- Judith Kelley, "Who Keeps International Commitments and Why? The International Criminal Court and Bilateral Non-Surrender Agreements," *American Political Science Review* 101, 3 (August 2007), pp. 573-589. http://www.jstor.org/stable/27644467
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- John M. Owen, *Liberal Peace, Liberal War: American Politics and International Security*, chapters 1,2,6,7. (Ithaca, N.Y.: Cornell University Press, 1997)
- Ido Oren, "The Subjectivity of the 'Democratic' Peace: Changing U.S. Perceptions of Imperial Germany," *IS*, 20, 2 (Fall 1995): 147-84. <u>http://www.jstor.org/stable/2539232</u>
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WEEK 5: 16 OCTOBER 2012 SOURCES OF NON- OR BOUNDEDLY RATIONAL POLICY-MAKING: IDEAS, PROCESS, PERCEPTION, COGNITION, PSYCHOLOGY, BIOLOGY, EMOTION, HABIT AND IDENTITY

Ideas

Goldstein, Judith and Robert Keohane, "Ideas and Foreign Policy: An Analytical Framework" in Judith Goldstein and Robert Keohane, eds. *Ideas and Foreign Policy*, Cornell: Cornell University Press, 1993, Introduction (pp. 3-30).

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- Johnston, Alastair Iain. "Thinking About Strategic Culture," *International Security* 19:4 (1995), pp. 32-65. <u>http://www.jstor.org/stable/2539119</u>
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Khong, Yuen Foong. Analogies at War: Korea, Munich, Dien Bien Phu, and the Vietnam Decisions of 1965. Princeton, NJ: Princeton University Press, 1992, Chs. 1, 7 (pp. 3-18. 174-208).

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- Johnson, Dominic et al, " "Overconfidence in Wargames: Experimental Evidence on Expectations, Aggression, Gender and Testosterone," *Proceedings of the Royal Society* (October 2006) 273:1600: pp. 2513-2520. <u>http://rspb.royalsocietypublishing.org/content/273/1600/2513.full.pdf+html</u>

Emotions and Biology

- Rosen, Stephen Peter. *War and Human Nature*. Princeton: Princeton University Press, 2007, Chapter 1 (pp. 1-26).
- Kowert, Paul A. and Margaret G. Hermann, "Who Takes Risks? Daring and Caution in Foreign Policy Making," *The Journal of Conflict Resolution*, 41:5 (Oct., 1997), pp. 611-637. <u>http://www.jstor.org/stable/174466</u>

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Gender

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- Séverine Autesserre, "Hobbes and the Congo: Frames, Local Violence, and International Intervention," *International Organization* 63 (April 2009), pp. 249–80. <u>http://journals.cambridge.org/action/displayFulltext?type=1&fid=5467148&jid=INO&volumeI</u> <u>d=63&issueId=02&aid=5467140</u>
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- Matthew Evangelista, "Review: Rough-and-Tumble World: Men Writing about Gender and War", *Perspectives on Politics* 1:2 (2003), pp. 327-34. <u>http://www.jstor.org/stable/3688904</u>
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- Bryan Rathbun, "Before Hegemony: Generalized Trust and the Creation and Design of International Security Organizations" *International Organization* (April 2011), 65: 243-273.

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- Paul Slovic, Melissa Finucane, Ellen Peters & Donald MacGregor, "The Affect Heuristic," in D. Kahneman & A. Tversky (Eds.) *Choices, Values and Frames* (Cambridge University Press, 2000).
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- Elizabeth Kier, "Culture and Military Doctrine," *International Security* Vol. 19, No. 4, (Spring 1995). <u>http://www.jstor.org/stable/2539120</u>
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WEEK 6: 23 OCTOBER 2012 STRATEGIC INTERACTION: COORDINATION AND BARGAINING

Strategic Choice

- Lake, David A. and Robert Powell, eds. *Strategic Choice and International Relations*. Princeton: Princeton University Press, 1999, Chs. 3, 7.
- Oye, Kenneth A. ed., "Explaining Cooperation under Anarchy," Special Issue of *World Politics* 38, 1 (October 1985), Chapters by Oye ("Explaining Cooperation under Anarchy: Hypotheses and Strategies," pp. 1-24), <u>http://www.jstor.org/stable/2010349</u>
 Keohane/Axelrod ("Achieving Cooperation under Anarchy: Strategies and Institutions," pp. 226-254). <u>http://www.jstor.org/stable/2010357</u>
- Raiffa, Howard, John Richardson and David Metcalfe, *Negotiation Analysis: The Science and Art of Collaborative Decision-Making.* Cambridge: Belknap Press, 2003, Chapters 3 and

7 (pp. 33-52, 109-128).

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- Hirschman, Albert O. *National Power and the Structure of Foreign Trade*. (First published in 1945.) Expanded edition. Berkeley, CA: University of California Press, 1980, pp. v-52.
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- Keohane, Robert and Joseph Nye, *Power and Interdependence: World Politics in Transition,* Boston : Little, Brown, 1977/2011, Chapter One (pp. 3-19)

Bargaining and Conflict

- Schelling, Thomas C. *The Strategy of Conflict*. Cambridge, MA: Harvard University Press, 1960, Chapters 1-3, 8 (pp. 3-80, 187-204).
- Powell, Robert. "Bargaining Theory and International Conflict," *Annual Review of Political Science* 5 (2002), pp. 1-30. <u>http://www.annualreviews.org/doi/pdf/10.1146/annurev.polisci.5.092601.141138</u>

Beyond Rationality

Michael Barnett and Raymond Duvall, "Power in International Politics," *International Organization* 59:1 (January 2005), pp. 39-75. <u>http://www.jstor.org/stable/3877878</u>

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- Robert Pape, "The Strategic Logic of Suicide Terrorism," *The American Political Science Review*, 97:3 (August 2003) pp. 343-361. <u>http://www.jstor.org/stable/3117613</u>
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- Lloyd Gruber, *Ruling the World: Power Politics and the Rise of Supranational Institutions*, chps. 2, 8. (Princeton, N.J. : Princeton University Press, 2000)
- Stephen Krasner, "Global Communications and National Power: Life on the Pareto Frontier," *World Politics* Vol. 43, No. 3 (April 1991), pp. 336-66. <u>http://www.jstor.org/stable/2010398</u>

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- Avinash Dixit and Barry Nalebuff, *Thinking Strategically: The Competitive Edge in Business, Politics, and Everyday Life*, chs. 5-6. (New York : Norton, 1991)

Robert Axelrod, The Evolution of Cooperation. (New York : Basic Books, 1984)

WEEK 7: 6 NOVEMBER 2012 POLARITY, HEGEMONY, POWER TRANSITIONS AND GLOBAL ORDER

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Gilpin, Robert. War and Change in World Politics. Cambridge: Cambridge University Press, 1981, Chapters 1, 4, 5 (pp. 9-49, 156-210).

Chadefaux, Thomas. "Bargaining over power: when do shifts in power lead to war? *International Theory*, 3:2 (2011), pp. 228–253. <u>http://journals.cambridge.org/action/displayAbstract?fromPage=online&aid=8309896</u>

Hegemony and Global Order

- Lake, David. *Hierarchy in International Relations*. Ithaca: Cornell University Press, 2009, Chapters 1-2 (pp. 17-62).
- Krasner, Stephen D. "State Power and the Structure of International Trade," *World Politics* 28:3 (April 1976), pp. 317-347. <u>http://www.jstor.org/stable/2009974</u>

Keohane, Robert O. *After Hegemony: Cooperation and Discord in the World Political Economy*. Princeton: Princeton University Press, 1994, Chapters 1, 3, 8 (pp. 5-17, 31-48, 135-181).

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- Voeten, Erik. "Outside Options and the Logic of Security Council Action," American Political Science Review 95:4 (December 2011), pp. 845-858. http://www.jstor.org/stable/3117717

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- Walt, Stephen M. "Alliances in a Unipolar World, *World Politics* 61:1 (January 2009), pp. 86-120. <u>http://muse.jhu.edu/journals/world_politics/v061/61.1.walt.pdf</u>
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- William Wohlforth, "The Stability of the Unipolar World," *International Security*, Vol. 24, No. 1 (Summer 1999) pp. 5-41. <u>http://www.jstor.org/stable/2539346</u>
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- Robert, Jervis, "Theories of War in an Era of Leading Power Peace," *American Political Science Review* 96: 1-14 (2002). <u>http://www.jstor.org/stable/3117806</u>

Stephen G. Brooks and William C. Wohlforth, *World out of Balance: International Relations and the Challenge of American Primacy*, <u>http://books.google.com/books?id=fMWRJy1MznUC&lpg=PP1&pg=PP1#v=onepage&q&f=false</u>

WEEK 8 – 13 NOVEMBER 2012 BALANCING, DETERRENCE, INTENTIONS AND NEO-CLASSICAL REALISM

Neo-Classical Realism

Walt, Stephen. *The Origins of Alliances*. Ithaca, NY: Cornell University Press, 1987, Chapterss 1, 2, 8 (pp. 1-49, 262-286).

- Schweller, Randall. "Bandwagoning for Profit: Bringing the Revisionist State Back In," *International Security* 19:1 (Summer 1994), pp. 72-107. http://www.jstor.org/stable/2539149
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And its Critics...

- Legro, Jeffrey W. and Andrew Moravcsik, "Is Anybody Still a Realist?" *International Security* 24:2 (Fall 1999), pp. 5-55. (*Optional*: Read responses and rebuttal in *International Security* 25:1, on Moravcsik website www.princeton.edu/~amoravcs)
- Wohlforth, William et al, "Testing Balance of Power Theory in World History," *European Journal of International Relations* 13:2 (2007), pp. 155-185. http://ejt.sagepub.com/content/13/2/155.full.pdf+html
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- Hemmer C and Peter J. Katzenstein. "Why is there no NATO in Asia? Collective Identity, Regionalism, and the Origins of Multilateralism," *International Organization*. 56:3 (2002), 575–607. <u>http://www.jstor.org/stable/3078589</u>

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The Domestic Politics of Alliances

- David, Stephen. "Explaining Third World Alignment," *World Politics* 43:2 (January 1991), pp. 233-256. <u>http://www.jstor.org/stable/2010472</u>
- Narizny, Kevin. "Both Guns and Butter, or Neither: Class Interests in the Political Economy of Rearmament" *American Political Science Review* 97:2 (2003), pp. 203-220. http://www.jstor.org/stable/3118204

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Deterrence

- Huth, Paul and Bruce Russett, "What Makes Deterrence Work? Cases from 1900 to 1980," *World Politics*, 36:4 (July 1984), pp. 496-526. <u>http://www.jstor.org/stable/2010184</u>
- Signorino, Curtis S. and Tarar, A., "A Unified Theory and Test of Extended Immediate Deterrence," *American Journal of Political Science* 50:3 (2006), pp. 586–605. <u>http://www.jstor.org/stable/3694236</u>
- Achen, Christopher H. and Duncan Snidal. 1989. "Rational Deterrence Theory and Comparative Case Studies." *World Politics*, 41:2 (January 1989), 143-169. http://www.jstor.org/stable/2010405
- Lebow, Richard Ned and Janice Gross Stein. "Rational Deterrence Theory: I Think, Therefore I Deter,". World Politics, 41(2) (January 1989), pp. 208-224 <u>http://www.jstor.org/stable/2010408</u> and George W. Downs. "The Rational Deterrence Debate," World Politics 41(2) (January 1989), pp. 225-237. <u>http://www.jstor.org/stable/2010409</u>

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- Gideon Rose, "Neoclassical Realism and Theories of Foreign Policy," *World Politics* Vol 51, No 1 (October 1998), pp. 144-172. <u>http://www.jstor.org/stable/25054068</u>
- Edward Gulick, Europe's Classical Balance of Power: A Case History of the Theory and Practice of One of the Great Concepts of European Statecraft. (Ithaca, Cornell University Press for the American Historical Association 1955)

Morton Kaplan, System and Process in International Politics, chs. 1-3. (New York, Wiley, 1957)

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- Paul Schroeder, "Historical Reality vs. Neorealist Theory," *International Security* Vol 19, No 1 (Summer1994), pp. 108-148. <u>http://www.jstor.org/stable/2539150</u>
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- JJ Suh, "Persistence and Termination of Military Alliances: NATO, the Soviet Union-Egypt and the United States-Iran" (unpublished MS).
- Celeste Wallander, "Institutional Assets and Adaptability: NATO After the Cold War," *International Organization* 54, 4 (Autumn 2000): 705-35. <u>http://www.jstor.org/stable/2601379</u>

WEEK 9 – 20 NOVEMBER 2012 RATIONALIST EXPLANATIONS OF WAR AND THEIR CRITICS

Beyond Realist Explanations for War

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- Goemans, H. E. War and Punishment: The Causes of War Termination and the First World War. Princeton, N.J., Princeton University Press, Introduction, Conclusion, pp.3-18, 310-324.
- Snyder, Jack and Erica Borghard, "The Cost of Empty Threats: A Penny, not a Pound," *American Political Science Review* 105:3 (August 2011), pp. 437-456. <u>http://journals.cambridge.org/download.php?file=%2FPSR%2FPSR105_03%2FS000305</u> <u>541100027Xa.pdf&code=91c1d7e81eccccc7533e435a6523a1b7</u>
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WEEK 10 – 27 NOVEMBER 2012 INTERNATIONAL INSTITUTIONS AND INFORMATION

Institutions and Regime Theory

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- Hawkins, Darren, David Lake, Daniel Nielson, and Michael Tierney, "Delegation under Anarchy: States, International Organizations, and Principal-Agent Theory," in Hawkens, Lake and Tierney, eds. *Delegation and Agency in International Organizations*. Cambridge: Cambridge University Press, 2006, Chapter 1 (pp. 3-38).

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- Krasner, Stephen. Sovereignty: Organized Hypocrisy. Princeton: Princeton University Press, 1999, Chapter 1 (pp. 3-42)
- Grieco, Joseph M. "Anarchy and the Limits of Cooperation: A Realist Critique of the Newest Liberal Institutionalism," *International Organization* 42:3 (Summer 1988), pp. 485-508. http://www.jstor.org/stable/2706787
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The English School

Bull, Hedley. *The Anarchical Society: A Study of Order in World Politics*. New York, NY: Columbia University Press, 1977, Chs. 2-3 (pp. 22-73).

<u>Recommended</u>

John Mearsheimer, "The False Promise of International Institutions," *International Security* Vol 19, No 3 (Winter 1994/95), pp. 5-49. <u>http://www.jstor.org/stable/2539078</u>

- David A. Baldwin, ed., *Neorealism and Neoliberalism: The Contemporary Debate*. (New York : Columbia University Press, 1993)
- Haas, Keohane and Levy, eds., Institutions for the Earth: Sources of Effective International Environmental Protection. (Cambridge, Mass. : MIT Press, 1993)
- Peter Hall and Rosemary C.R. Taylor, "Political Science and the Three New Institutionalisms," *Political Studies* Vol 44, No 5 (December 1996), pp. 936-57. <u>http://web.ebscohost.com/ehost/pdfviewer/pdfviewer?vid=3&hid=106&sid=1626984e-6ca4-4186-9eb2-a7446030f26e%40sessionmgr115</u>
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- Peter Haas, "Knowledge, Power and International Policy Coordination," *International Organization*, special issue, Vol. 46, No. 1 (Winter 1992). <u>http://www.jstor.org/stable/i327218</u>
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- Allen Carlson, *Unifying China, Integrating with the World: Securing Chinese Sovereignty in the Reform Era*, pp.1-48. (Stanford, Calif. : Stanford University Press, 2005)
- Michel Oksenberg, "The Issue of Sovereignty in the Asian Historical Context," in Stephen Krasner, ed. *Problematic Sovereignty: Contested Rules and Political Possibilities*, pp. 83-105. (New York : Columbia University Press, 2001)
- Andreas Osiander, "Sovereignty, International Relations and the Westphalian Myth," *International Organization* Vol. 55, No. 2 (Spring 2001): 251-289). <u>http://www.jstor.org/stable/3078632</u>
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- Neta Crawford, "A Security Regime among Democracies: Cooperation among Iroquois Nations" *IO* 48, 3 (Summer 1994): 345-85. <u>http://www.jstor.org/stable/2706963</u>
- Victoria Hui, "Toward a Dynamic Theory of International Politics: Insights from Comparing Ancient China and Early Modern Europe." *International Organization* 58, 1 (2004): 175-205. <u>http://www.jstor.org/stable/3877892</u>
- David Kang, "Hierarchy, Balancing, and Empirical Puzzles in Asian International Relations," *International Security* 28:3 (2003/04), pp. 165-80. <u>http://www.jstor.org/stable/4137481</u>

Student Presentations to be Added

WEEK 11 – 4 DECEMBER 2012 INSTITUTIONAL DESIGN AND COMPLIANCE

Variation in the form and function of international institutions

- Martin, Lisa L. "Interests, Power, and Multilateralism," *International Organization* 46:4 (Autumn 1992), pp. 765-92. <u>http://www.jstor.org/stable/2706874</u>
- Abbott , Kenneth W. et al. "The Concept of Legalization," in *Legalization and World Politics*, special issue, *International Organization* 54:3 (Summer 2000), pp. 401-419. http://www.jstor.org/stable/2601339
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- Rosendorff, Peter and Helen Milner, "The Optimal Design of International Trade Institutions: Uncertainty and Escape." *International Organization* 55:4 (Autumn 2001), pp. 829-857. <u>http://www.jstor.org/stable/3078617</u>

The Domestic Politics of Compliance

- Chayes, Abram and Antonia Chayes, "On Compliance," *International Organization* 47:2 (Spring 1993), pp. 175-206. <u>http://www.jstor.org/stable/2706888</u>
- Downs, George, David Rocke, and Peter Barsoom, "Is the Good News about Compliance Good News about Cooperation?" *International Organization* 50:3 (Summer 1996), pp. 379-406. http://www.jstor.org/stable/2704030

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- Dai, Xinyuan. "Information Systems in Treaty Regimes," *World Politics* 54:4 (July 2002), pp. 405-436. <u>http://www.jstor.org/stable/25054198</u>

Pevehouse, Jon C. "Democracy from the Outside In? International Organizations and Democratization," *International Organization* 56:3 (Summer 2002), pp. 515-549. http://www.jstor.org/stable/3078587

<u>Recommended</u>

- G. John Ikenberry, "Institutions, Strategic Restraint, and the Persistence of American Postwar Order" *International Security* Vol 23, No. 3(Winter 1998/99), pp. 43-78. <u>http://www.jstor.org/stable/2539338</u>
- Michael Barnett and Emmanuel Adler, Security Communities (Cambridge University Press, 1999) chapters 1 and 2.
- Anne-Marie Burley (Slaughter) and Walter Mattli, "Europe Before the Court: A Political Theory of Legal Integration," *International Organization* Vol. 47, No. 1, (Winter 1993). http://www.jstor.org/stable/2706882
- Andrew Moravcsik, "Liberal Theories of International Law," in Mark Pollack and Jeff Dunhoff, eds. *International Law* (forthcoming)
- Kal Raustiala, "States, NGOs, and International Environmental Institutions," *International Studies Quarterly* Vol 41, No 4 (1997), pp. 719-740. <u>http://www.jstor.org/stable/2600859</u>
- Daniel Drezner, "The Global Governance of the Internet: Bringing the State Back In," *Political Science Quarterly*, Vol 119, No 3 (2004), pp. 477-498. <u>http://www.jstor.org/stable/20202392</u>
- Beth Simmons, "International Law and State Behavior: Commitment and Compliance in International Monetary Affairs." *American Political Science Review*, Vol 94, No 4 (December 2000), pp. 819-835.
 <u>http://www.jstor.org/stable/2586210</u> Also recommended: critique article by Jana Von Stein and response published in *American Political Science Review* Vol 99, No 4 (November 2005), pp. 611-631.
 <u>http://www.jstor.org/stable/30038968</u>
- "Legalization," IO special issue, Vol 54, No 3 (Summer 2000): articles by Kenneth W. Abbott et al, "The Concept of Legalization," (pp. 401-19), <u>http://www.jstor.org/stable/2601339</u>; Karen J. Alter, The European Union's Legal System and Domestic Policy: Spillover or Backlash, (pp. 489-518) <u>http://www.jstor.org/stable/2601342</u>; Miles Kahler, "Legalization as Strategy: The Asia-Pacific Case (pp.549-71) <u>http://www.jstor.org/stable/2601344</u>
- Martha Finnemore and Stephen J. Toope, "Alternatives to 'Leglization': Richer Views of Law and Politics," International Organization 55, 3 (Summer 2001): 743-58. http://www.jstor.org/stable/3078663

Christian Reus-Smit, "Struggles for Individual Rights and the Expansion of the International System," *International Organization* 65:2 (Spring 2011), pp. 207-42. <u>http://journals.cambridge.org/action/displayFulltext?type=1&fid=8255877&jid=INO&volumeI d=65&issueId=02&aid=8255875</u>

Student Presentations to be Added

WEEK 12 – 11 DECEMBER 2012 INSTITUTIONS AS SITES FOR SOCIAL PROCESSES: TRANSGNATIONAL, TRANSGOVERNMENTAL, SUPRANATIONAL AND INTERGOVERNMENTAL DYNAMICS

Transgovernmental Networks

- Haas, Peter M. "Do Regimes Matter? Epistemic Communities and Mediterranean Pollution Control," *International Organization* 43:3 (1989), pp. 377-404. <u>http://www.jstor.org/stable/2706652</u>
- Finnemore, Martha and Michael Barnett, "The Politics, Power, and Pathologies of International Organizations," *International Organization* 53:4 (October 1999), pp. 699-732. http://www.jstor.org/stable/2601307
- Burley (Slaughter), Anne-Marie and Walter Mattli, "Europe before the Court: A Political Theory of Legal Integration," *International Organization* 47:1 (1993), pp. 41-76. http://www.jstor.org/stable/2706882
- Response by Geoffrey Garrett, "The Politics of Legal Integration in the European Union," International Organization 49:1 (Winter 1995), pp. 171-181. http://www.jstor.org/stable/2706870
- Rebuttal by Walter Mattli and Anne-Marie Slaughter, "Law and Politics in the European Union: A Reply to Garrett," *International Organization* 49:1 (Winter 1995), pp. 183-190. http://www.jstor.org/stable/2706871

Practice and Network Analysis

- Adler, Emanuel and Vincent Pouliot, "International Practices," *International Theory* 3:1, (February 2011), pp. 1-36. <u>http://journals.cambridge.org/action/displayFulltext?type=1&pdftype=1&fid=8106658&j</u> id=INT&volumeId=3&issueId=01&aid=8106656
- Hafner-Burton, Emilie, Kahler Miles, Montgomery A, "Network Analysis for International Relations," *International Organization* 63:3 (2009), pp. 559–92. <u>http://journals.cambridge.org/action/displayFulltext?type=1&fid=5916432&ji</u> <u>d=INO&volumeId=63&issueId=03&aid=5916424</u>

Persuasion and Norms in International Organizations

Legro, Jeffrey. "Which Norms Matter? Revisiting the "Failure" of Institutionalism," International Organization 51:1 (Winter 1997), pp. 31-63. <u>http://www.jstor.org/stable/2703951</u>

- Finnemore, Martha and Kathryn Sikkink, "International Norm Dynamics and Political Change." *International Organization* 52:4 (1998), pp. 887-917. http://www.jstor.org/stable/2601361
- Finnemore, Martha. *National Interests in International Society* (Ithaca: Cornell University Press, 1996), Ch. 1 (pp. 24-33 only), 3 (pp. 69-88).
- Johnston, Alastair Iain. "Treating International Institutions as Social Environments," International Studies Quarterly 45:4 (December 2001), pp. 487-515. http://www.jstor.org/stable/3096058
- Risse, Thomas. "Let's Argue!: Communicative Action in World Politics," *International Organization* 54:1 (Winter 2000): 1-39. <u>http://www.jstor.org/stable/2601316</u>

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- Ian Hurd. "Legitimacy and Authority in International Politics", *International Organization* 53, 2 (1999), pp. 379-408. <u>http://www.jstor.org/stable/2601393</u>
- Lebow, Ned, 2008, *A Cultural Theory of International Relations*, chs. 1-2, 9-10. (Cambridge, UK ; New York : Cambridge University Press, 2008) (Also see reactions to it in <u>International Theory</u>, 2010, Nov, v. 2, #3, by David Welch, "*A Cultural Theory* meets cultures of theory", <u>http://journals.cambridge.org/action/displayFulltext?type=1&fid=7907045&jid=INT&volumeI</u> <u>d=2&issueId=03&aid=7907043;</u>

Nicholas Rengger, "Remember the *Aeneid?* Why International Theory Should Beware Greek Gifts, <u>http://journals.cambridge.org/action/displayFulltext?type=1&fid=7907048&jid=INT&volumeI</u> <u>d=2&issueId=03&aid=7907046</u>

Jacques Hymans, "The Arrival of Psychological Constructivism," <u>http://journals.cambridge.org/action/displayFulltext?type=1&fid=7907051&jid=INT&volumeI</u> <u>d=2&issueId=03&aid=7907049;</u>

William Wohlforth, "A Matter of Honor," <u>http://journals.cambridge.org/action/displayFulltext?type=1&fid=7907054&jid=INT&volumeI</u> <u>d=2&issueId=03&aid=7907052</u>; and

James Morrow, "Eight Questions For *A Cultural Theory of International Relations*, <u>http://journals.cambridge.org/action/displayFulltext?type=1&fid=7907057&jid=INT&volumeI</u> <u>d=2&issueId=03&aid=7907055</u>.)

- Peter J. Katzenstein, ed, (1996) *The Culture of National Security: Norms and Identity in World Politics*, chs. 1, 2, 4. (New York : Columbia University Press, 1996)
- Vincent Pouliot, (2010) "The Materials of Practice: Nuclear Warheads, Rhetorical Commonplaces and Committee Meetings in Russian-Atlantic Relations," *Cooperation and Conflict* 45(3): 294-311. <u>http://cac.sagepub.com/content/45/3/294.full.pdf+html</u>

Vincent Pouliot, (2008), "The Logic of Practicality: A Theory of Practice of Security Communities" *International* Organization 62(2): 257-288. <u>http://www.jstor.org/stable/40071867</u>

Vincent Pouliot, (2010) International Security in Practice; The Politics of NATO-Russia Diplomacy. (Cambridge, UK; New York: Cambridge University Press, 2010)

Christian Reus-Smit, "The Constitutional Structure of International Society," *IO* 51,4 (Autumn 1997): 555-90. <u>http://www.jstor.org/stable/2703499</u>

Anne-Marie Slaughter. A New World Order. Princeton: Princeton University Press, 2004.

Student Presentations to be Added

WEEK 13 – TIME TO BE SCHEDULED FEEDBACK AND LONG-TERM EVOLUTION OF THE INTERNATIONAL SYSTEM

Classic Articles on Feedback and Evolution

- Gourevitch, Peter. "The Second Image Reversed," *International Organization* 32:4 (Autumn 1978), pp. 881-912. <u>http://www.jstor.org/stable/2706180</u>
- Kahler, Miles. "Evolution, Choice, and International Change," in Lake and Powell, eds. Strategic Choice and International Relations. Princeton, NJ: Princeton University Press, 1999, pp. 165-196.

Diffusion, State Formation and Evolution of the International System over Time

Spruyt, Hendrik. *The Sovereign State and Its Competitors: An Analysis of Systems Change*. Princeton, NJ: Princeton University Press, 1994, Chapter 8 (pp. 154-180).

- Simmons, Beth A., Frank Dobbin, and Geoffrey Garrett, "Introduction: The International Diffusion of Liberalism," *International Organization*, 60:4 (Autumn 2006), pp. 781-810. http://www.jstor.org/stable/3877847
- Branch, Jordan. "Mapping the Sovereign State: Technology, Authority, and Systemic Change," *International Organization*. 65:1 (January 2011), pp. 1-36. <u>http://journals.cambridge.org/action/displayFulltext?type=1&fid=8036976&ji</u> <u>d=INO&volumeId=65&issueId=01&aid=8036972</u>
- Ruggie, John G. "Territoriality and Beyond: Problematizing Modernity in International Relations," *International Organization* 47:1 (Winter 1993), pp. 139-174. <u>http://www.jstor.org/stable/2706885</u>

Historical Institutionalism

Pierson, Paul. "The Path to European Integration: A Historical Institutionalist Perspective," *Comparative Political Studies* 29:2 (April 1996), pp. 123-163. <u>http://cps.sagepub.com/content/29/2/123.full.pdf+html</u>

Socialization

- Ikenberry, G. John, and Charles A. Kupchan, "Socialization and Hegemonic Power," *International Organization* 44:3 (Summer 1990), pp. 283-315. <u>http://www.jstor.org/stable/2706778</u>
- Wendt, Alexander. *Social Theory of International Politics*. Cambridge, UK: Cambridge University Press, 1999, Chapters 1, 4 (pp. 1-46, 139-192), 5 (pp. 233-245 only), and 7 (pp. 313-369).
- Fearon, James and Alexander Wendt, "Rationalism v. Constructivism: A Skeptical View," in Walter Carlsnaes, Thomas Risse, and Beth Simmons, *Handbook of International Relations*. 1st edition. Thousand Oaks, CA: Sage Publications, 2002, pp. 52-72.

Johnston, Alistair Iain. *Social States: China in International Institutions, 1980-2000.* Princeton, NJ: Princeton University Press, 2008, Chs. 1, 5 (pp. 1-44, 197-212). Chapter 1 available from http://press.princeton.edu/titles/8559.html#TOC

Mercer, Jonathan L. "Anarchy and Identity," *International Organization* 49:2 (Spring 1995), pp. 229-252. http://www.jstor.org/stable/2706971

<u>Recommended</u>

- John Ruggie, 'Introduction: what makes the world hang together? Neo-ultilitarianism and the social constructivist challenge' in Ruggie, Constructing the World Polity (Routledge) 1998, pp. 1-40.
- Alexander Wendt, "The Agent-Structure Problem in International Relations Theory," *International Organization*, Vol 41, No 3 (Summer 1987), pp. 335-70. <u>http://www.jstor.org/stable/2706749</u>
- David Dessler, "What's at Stake in the Agent-Structure Debate," International Organization Vol. 43, No. 3 (Summer 1989). <u>http://www.jstor.org/stable/2706654</u>
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Student Presentations to be Added